

Yiddish word of the day

"der wissenschaftler" = רְאַסְטּוֹרֶןָהָן

a scientist =

Yiddish phrase

"es ist in in = אֵין בָּהִנָּה בָּהִנָּה 3'10'08  
droben"

the weather is

# Rank-Nullity Thrm

Recall: A  $m \times n$  matrix

$$\cdot \text{null}(A) = \left\{ \vec{x} \text{ in } \mathbb{R}^n : \underline{A\vec{x} = \vec{0}} \right\}$$

= solution set to the homogeneous system

- We saw that this was a subspace
- so in particular  $\text{null}(A)$  has basis

Def: The dimension of  $\text{null}(A)$  is called nullity of  $A$

$$\underline{\text{nullity}(A)} = \dim(\text{null}(A))$$

Recall: the # free variables is the # vectors in  
the basis for  $\text{null}(A)$

$\Rightarrow \text{nullity}(A) = \# \text{ columns without a pivot}$

•  $\text{col}(A) = \text{span}(\text{columns of matrix } A)$

= the vectors  $\vec{b}$  in  $\mathbb{R}^m$  such the matrix equation  
 $A\vec{x} = \vec{b}$  has a solution.

Def: The rank of matrix  $A$  is

$$\text{rank}(A) = \dim(\text{col}(A))$$

• Since  $\text{col}(A) = \text{span}(\underbrace{\vec{v}_1}_{\downarrow}, \dots, \underbrace{\vec{v}_n}_{\downarrow})$

The <sup># of</sup> linearly independent in this list  $v_1 - v_n$   
will be the # of vectors in the basis.

- This implies  $\text{rank}(A) = \# \text{ of leading variables}$   
 $= \# \text{ of columns with a pivot !!}$

Note: A  $m \times n$  matrix  
 $n = \# \text{ of columns}$

$$\Rightarrow n = \# \text{ columns with pivot} + \# \text{ columns of columns w/out a pivot}$$

$$n = \text{rank}(A) + \text{nullity}(A)$$

Rank-Multy  
then full  
matrices,

ex) Let  $A$  be a  $6 \times 5$  matrix and the null space of  $A$  is

$$\text{null}(A) = \text{Span} \left( \left( \begin{array}{c} 1 \\ 2 \\ 3 \\ 4 \\ 5 \\ 6 \end{array} \right), \left( \begin{array}{c} 9 \\ 0 \\ 1 \\ 0 \\ 0 \\ 0 \end{array} \right) \right)$$

Q: What is rank of  $A$ ?

$$\underline{\text{• nullity } A = 2} \Rightarrow 5 = \text{rank}(A) + 2 \Rightarrow \underline{\text{rank}(A) = 3}$$

Ex) A  $3 \times 8$  matrix with  $\text{nullity}(A) = 6$ .  
Do the columns of  $A$  span  $\mathbb{R}^3$ ?

No!  $8 = \text{rank}(A) + 6 \Rightarrow \text{rank}(A) = 2$ .

Need at least 3 to span.

## Chapter 6 - Linear Transformations

Def:  $V, W$  be vector spaces. Then a function

$T: V \rightarrow W$  is a linear transformation if

i)  $T(u+v) = T(u) + T(v)$  for  $u, v$  in  $V$

ii)  $T(cu) = cT(u)$  for  $c$  in  $\mathbb{R}$ ,  $u$  in  $V$

Ex)  $T: M_{n \times n}(\mathbb{R}) \rightarrow \mathbb{R}$

$$T\left(\begin{pmatrix} a & b \\ c & d \end{pmatrix}\right) = a+d = (\text{trace of matrix})$$

$$T(M_1) + T(M_2)$$

$$\cdot T\left(\left(\begin{array}{cc} a_{11} & a_{12} \\ a_{21} & a_{22} \end{array}\right) + \left(\begin{array}{cc} b_{11} & b_{12} \\ b_{21} & b_{22} \end{array}\right)\right) = T\left(\begin{array}{cc} a_{11}+b_{11} & a_{12}+b_{12} \\ a_{21}+b_{21} & a_{22}+b_{22} \end{array}\right) = a_{11}+b_{11}+a_{12}+b_{12}$$

ii)  $D: \mathbb{R}_n[x] \rightarrow \mathbb{R}_{n-1}[x]$  (check this is a LT)

$$D(a_0 + a_1x + \dots + a_nx^n) = a_1 + 2a_2x + \dots + na_nx^{n-1}$$

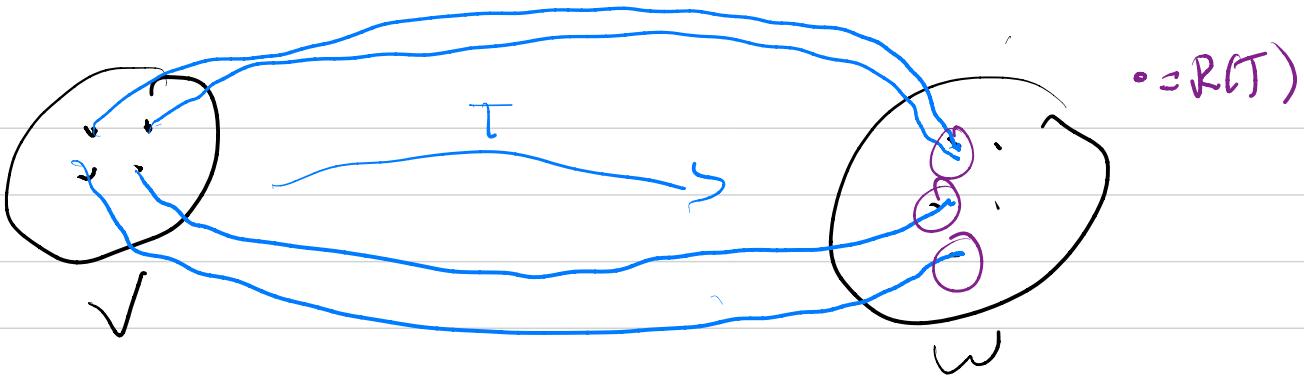
(iii)  $D(f): C^{\infty}(\mathbb{R}^n; \mathbb{R}^m) \rightarrow M_{m \times n}(\mathbb{R})$

$f: \mathbb{R}^n \rightarrow \mathbb{R}^m \rightsquigarrow Df$  is a  $M_{m \times n}$  matrix

Def:  $T: V \rightarrow W$  be linear transformation.

1)  $\text{Ker}(T) = \{v \text{ in } V : T(v) = 0_w\}$  (Kernel of  $T$ )

2) Range of  $T$ ,  $R(T) = \{w \text{ in } W : \text{there is a } v \text{ in } V \text{ with } T(v) = w\}$



Recall: If  $T: \mathbb{R}^n \rightarrow \mathbb{R}^m$  linear we saw that  $T$  was uniquely defined on the Standard Basis

Same is true for  $T: V \rightarrow W$

In particular: Let  $B_V: (v_1, \dots, v_n)$  be a basis for  $V$

$$\text{then } R(T) = \text{span}(T(v_1), T(v_2), \dots, T(v_n))$$

• So in particular, a basis for the range is just those vectors in  $(T(v_1), \dots, T(v_n))$  that are LI.

$$\text{ex) } T: \mathbb{R}_2[x] \rightarrow M_{2 \times 2}(\mathbb{R})$$

$$\begin{aligned} T(a+bx+cx^2) &= \begin{pmatrix} a & a+b+c \\ 0 & -b \end{pmatrix} \\ &= \begin{pmatrix} a & a \\ 0 & 0 \end{pmatrix} + \begin{pmatrix} 0 & b \\ 0 & -b \end{pmatrix} + \begin{pmatrix} 0 & c \\ c & 0 \end{pmatrix} \\ &= a \begin{pmatrix} 1 & 1 \\ 0 & 0 \end{pmatrix} + b \begin{pmatrix} 0 & 1 \\ 0 & -1 \end{pmatrix} + c \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \\ &= a T(1) + b T(x) + c T(x^2) \end{aligned}$$

$$B_v = (1, x, x^2)$$

$$B_{M_{2 \times 2}}(\mathbb{R}) = \left( \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \right)$$

So to find basis for  $\mathbb{R}(1)$ , check which of the matrices

$$\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \text{ are LI}$$

$$\xrightarrow{\quad} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \xrightarrow{\quad} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

So basis for range is  $\mathcal{B} = \left( \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \right)$

More on kernel

ex)  $D: \mathbb{R}_3[x] \rightarrow \mathbb{R}_2[x]$  the derivative.

- What is  $\text{ker}(D) \subset \text{all constant polynomials}$   
 $= \text{span } \{1\}$

ex)  $T(ax+bx+cx^2) = \begin{pmatrix} a & a+b+c \\ c & -b \end{pmatrix}$

$$\text{Ker}(T) = \left\{ ax+bx+cx^2 : \begin{pmatrix} a & a+b+c \\ c & -b \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} \right\} = \{ 0 \}$$

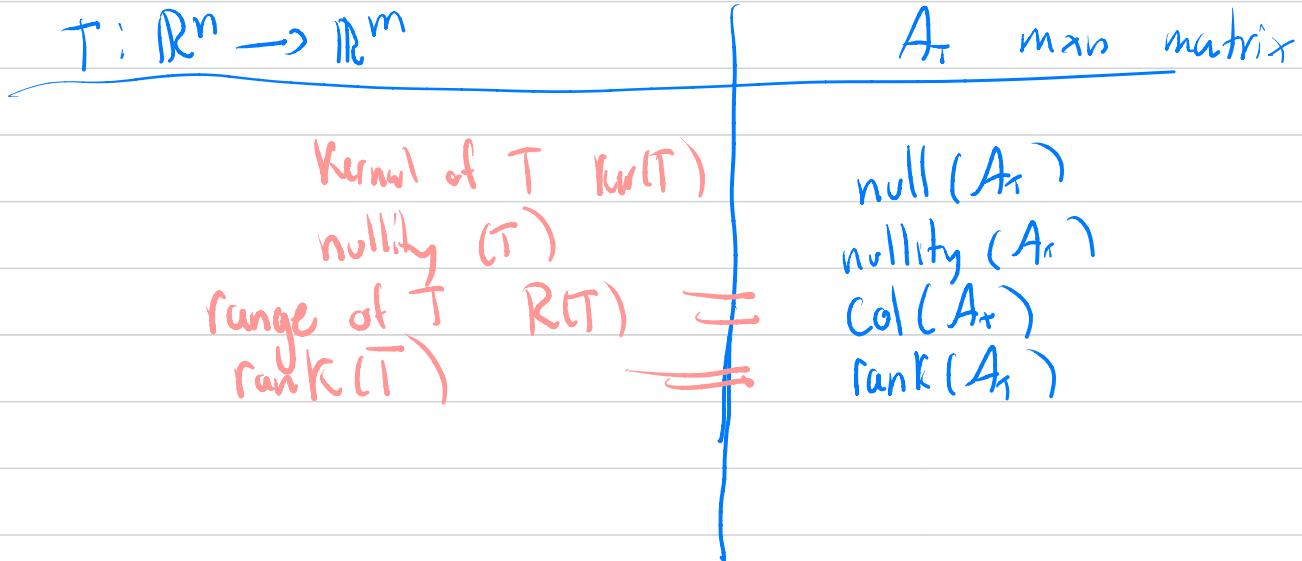
Prop:  $T$  linear  $T(0_v) = 0_w$  (show!)

Def:  $T: V \rightarrow W$  linear transf

1) nullity of  $T$  is  $\dim \text{Ker}(T)$

2) rank of  $T$  is  $\dim R(T)$

Recall:  $T: \mathbb{R}^n \rightarrow \mathbb{R}^m$  linear  $\rightsquigarrow A_T$   $m \times n$  matrix  
such that  $T(\vec{x}) = A_T \vec{x}$



In the case of  $T: \mathbb{R}^n \rightarrow \mathbb{R}^m$  we saw that  
 $\text{rank}(T) + \text{nullity}(T) = n$

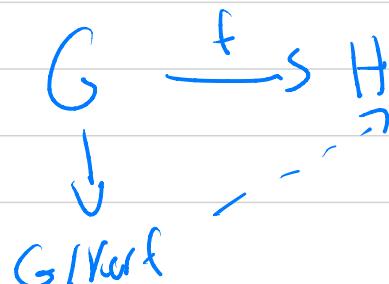
Is this true in general?

Let  $V$  n-dim VS,  $W$  m-dimensional VS.

$T: V \rightarrow W$  linear transf.

Then  $n = \dim(V) = \text{rank}(T) + \text{nullity}(T)$

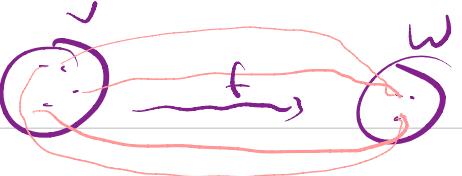
Rank-Nullity Thm for Linear transformations!



1st Isomorphism  
Thm

• the cover of our canvas page !!

Def:  $f: V \rightarrow W$  any function



- 1) We say  $f$  is surjective if  $R(f) = W$
- 2) We say  $f$  is injective if whenever  
 $f(x_1) = f(x_2)$  then  $x_1 = x_2$

Thrm:  $T: V \rightarrow W$  bc linear transf.

Then  $T$  is injective if and only if

$$Ker T = \{0\}$$

ex)  $T(a+bx+cx^2) = \begin{pmatrix} a & a+b+c \\ c & -b \end{pmatrix}$

• We saw  $\text{ker}(T) = \{0\}$  so  $T$  is injective

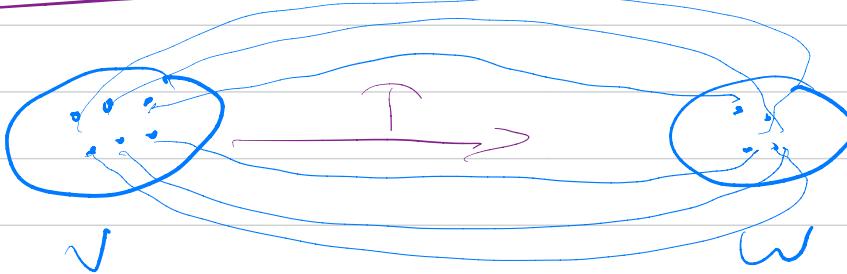
but  $T$  is not surjective

( $R(T)$  has  $\dim = \underline{3}$ , but  $M_{2 \times 2}(\mathbb{R})$  has  $\dim = \underline{4}$ )

We will address how to check for surjective/injective in a second, but first we have some applications of rank-nullity.

Thrm:  $V$  n-dim,  $W$  m-dim  $T: V \rightarrow W$  linear

1) If  $\dim V > \dim W$  then  $T$  is NOT injective

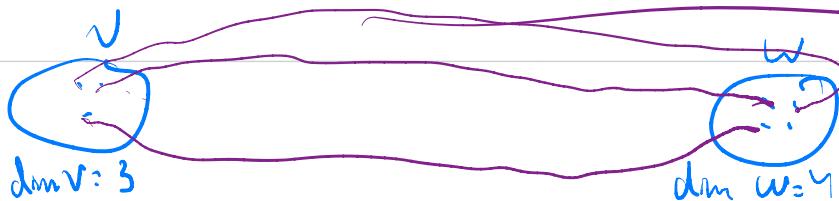


$$\dim V = 6$$

$$\dim W = 4$$

$$\begin{aligned} n &= \text{rank}(T) + \text{nullity}(T) \\ &\leq m + \text{nullity}(T) \\ 6 < n - m &\leq \text{nullity}(T) \Rightarrow \text{nullity}(T) > 0 \end{aligned}$$

2) If  $\dim V < \dim W$  then  $T$  is NOT! surjective.



Pf uses Rank-Nullity

STOP HERE!

3) Def: We say  $T$  is an isomorphism if  $T$  is both injective and surjective.

If  $T: V \rightarrow W$  is an isomorphism we say  $V$  is isomorphic to  $W$  (write  $V \cong W$ )

Note if  $T$  is injective, need  $\dim V \leq \dim W$   
if  $T$  is surjective, need  $\dim V \geq \dim W$

So if  $T$  is an isomorphism we have  $\dim V = \dim W$

Thrm: Two vectors are isomorphic if and only if

$$\text{ex) } M_{mn}(\mathbb{R}) \cong \cong$$

$$M_{3 \times 2}(\mathbb{R}) \cong \cong$$